OFFICE OF THE MISSISSIPPI SECRETARY OF STATE REGULATION AND ENFORCEMENT

IN THE MATTER OF: MR. ISAAC CONNER of Allegiant Athletic Agency 35 Market Square, Ste. 201 Knoxville, TN 37902)))	ADMINISTRATIVE PROCEEDING NO. <u>AA-15-1425</u>
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CONSENT AGREEMENT

I. Jurisdiction

Finding it appropriate and in the public interest, the Regulation and Enforcement Division of the Office of the Secretary of State of Mississippi (hereafter "Division"), on behalf of the Secretary of State, having the power to administer and to provide for the Mississippi Athlete Agent Act, Miss. Code Ann. § 73-42-1 (hereafter "Act"), and Respondent do hereby enter into this Consent Agreement (hereafter "Agreement"). In lieu of the issuance of a formal charge by the Division alleging a violation of the Act, this Agreement is entered into by the Division and the Respondent.

II. Agreement

Respondent admits the jurisdiction of the Division as to all parties and matters herein under the authority of the Act. In consideration of a final resolution of the matter set forth herein, the Division and Respondent hereby agree and stipulate to the following:

- 1. Respondent is a licensed Athlete Agent in the State of Mississippi.
- 2. Mississippi Code Annotated Section 73-42-21)(1), "Notice to Educational Institution," requires an athlete agent provide written notification to the educational institution where a student-athlete is enrolled prior to a planned contact with the athlete or the athlete's

- immediate family where the intent or purpose of the planned contact is to solicit representation of the athlete.
- 3. On or about June 25, 2015, Respondent made direct contact with a student-athlete at a Mississippi educational institution and a member of the student-athlete's immediate family. Such contact had been planned in advance by the Respondent, and the purpose of the contact was in furtherance of Respondent's lawful activity as an athlete agent licensed in Mississippi. However, Respondent failed to make written notification to the educational institution where the student-athlete is enrolled. The failure to make prior written notification to the educational institution is a violation of Section 73-42-21(1).
- 4. The Respondent has stated that he was not aware of the requirement for prior written notification and that his failure to notify the educational institution in advance of the contact was not intentional violation of Section 73-42-21(1) or any attempt to circumvent the notice requirement. Respondent assures the Division he will be in full compliance with the notice provision of Section 73-42-21(1) with all future planned contacts with Mississippi student-athletes.
- 5. Respondent was immediately cooperative and available to the Division with its inquiries regarding this matter. Further, the Division recognizes the positive actions by the Respondent and his notification to the educational institution on the day of contact. His response is important to the Division and integral to the resolution of this issue without the issuance of a formal charge. The issue concerning the Respondent arising from the contact of June 25, 2015, is solely one of prior written notice to the educational institution where the student-athlete is enrolled. Respondent's actual contact and

- interaction with the student-athlete and the student-athlete's immediate family is not in itself prohibited by Sections 73-42-21 or 73-42-27.
- 6. In lieu of formal administrative proceedings and the issuance of a civil enforcement charge, the Division and the Respondent agree to conclude this matter with the effect of res judicata under the following terms:
 - a. Respondent agrees to pay a reduced administrative penalty of two hundred and fifty dollars (\$250.00). Such penalty shall be paid to the Office of the Mississippi Secretary of State prior to September 30, 2015. The failure to pay the assessment on or before September 30, 2015, negates this Consent Agreement in its entirety.
 - b. The parties agree to fully conclude this matter under the terms of this Agreement.
 By entering into this Consent Agreement, there will be no additional adjudication of the notice requirement of Section 73-42-21(1) prior to the date of this Agreement.
 - c. Both parties understand that the entry of this Consent Agreement represents the final, un-appealable settlement of a regulatory claim by the Division against the Respondent. Respondent agrees to forego any appeal rights he might have to the Division's enforcement action that is the subject of this Consent Agreement.

III. Acknowledgement

The parties acknowledge that they have read this Agreement in its entirety and fully understand the rights, terms, and obligations of both parties. They are vested with the express authority to enter into this Agreement. The parties further acknowledge that they have not been threatened or otherwise coerced into entering into this Consent Agreement.

The undersigned hereby acknowledge and agree to the terms and conditions of the foregoing Agreement by written consent.

So Agreed, this the / 4th day of Soptember, 2015.

Isaac Conner

Date: 9-16-15

C. DELBERT HOSEMANN, JR.

Secretary of State

In his Official Capacity

Dave Scott

Assistant Secretary of State Regulation and Enforcement